

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>ROBERT B. PHELPS</b>	)	
	)	<b>ART UNIT: 3627</b>
<b>SERIAL NO.: 10/636,125</b>	)	
	)	
<b>FILED: August 7, 2003</b>	)	
	)	<b>EXAMINER: FRENEL, VANEL</b>
<b>METHOD OF ENHANCING</b>	)	
<b>VALUE OF PENSION PLAN</b>	)	
<b>ASSETS</b>	)	
	)	
<b>CONFIRMATION NO.: 1568</b>	)	

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

**RESPONSE TO EXAMINER'S NOTIFICATION OF NON-COMPLIANT  
APPEAL BRIEF AND SUBMISSION OF APPELLANT'S CORRECTED APPEAL  
BRIEF**

In response to Examiner's Notification of Non-Compliant Appeal Brief, mailed December 14, 2006, filed herewith please find the Appellant's submitted Response to Examiner's Notification of Non-Compliant Appeal Brief which is a corrected version of Appellant's Appeal Brief pursuant to MPEP § 1205.03.

Respectfully submitted,

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US Pat. Appl. No. 10/636,125  
Attny. Docket No. S-0816

**CERTIFICATE OF SUBMISSION**

I hereby certify that, in the course of ordinary business, this paper (along with any referred to as being filed herewith) is being submitted on the date indicated below to the United States Patent and Trademark Office via EFS-Web.

**January 11, 2007**  
Date

**/ R. Andrew Patty II/**  
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